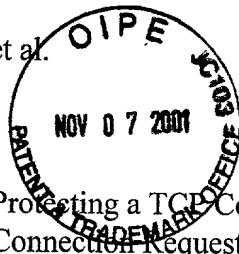


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jarir K. Chaar et al.  
Serial No.: 09/931,225  
Filed: 08/16/2001



Docket No.: YOR920010359US1  
Group Art Unit: 2171  
Examiner: unassigned

For: System & Method for Protecting a TCP Connection Serving System From  
High-Volume of TCP Connection Requests

The Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

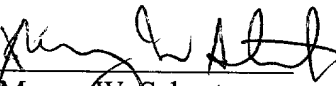
Sir:

In response to the Notice to File Corrected Application Papers dated September 28, 2001, Applicant herewith submits the following:

Drawings have been replaced by new corresponding drawings with four (4) sheets of substitute drawings in compliance with 37 CFR 1.84 having appropriate margin(s) and suitable descriptive legends as required for compliance with 37 CFR 1.84 (o)).

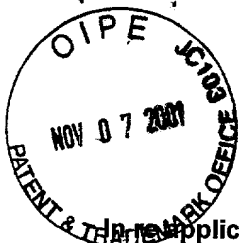
If the Examiner believes that a telephone conference with Applicants' Attorneys would be advantageous to the disposition of this case, the Examiner is requested to telephone the undersigned.

Respectfully submitted,

By:   
Manny W. Schecter  
Reg. 31,722

IBM CORPORATION  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown heights, NY 10598  
(914)945-3252

ExpMailCert: EV001687974US  
Date Of Deposit: Nov 7, 2001



61-04-02 RECEIPT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Jarir K. Chaar et al

Serial No.: 09/931,225

Filed: August 16, 2001

Group No.: 2171

Examiner: unassigned

For: System & Method for Protecting a TCP Connection Serving System from High-Volume Of TCP Connection Requests

Commissioner of Patent and Trademarks  
Washington, DC 20231

**EXPRESS MAIL CERTIFICATE**

Express Mail Label Number EV 001687974 US

Date of Deposit November 7, 2001

I hereby certify that attached paper or fee:

Notice to File Corrected Application Papers  
Response to Notice to File Corrected Application Papers  
Four (4) Sheets of Substitute Drawings in compliance with 37 CFR 1.84  
Request for Corrected Official Filing Receipt USPTO error.  
Return Acknowledgment Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

MARGARET M. BROWN

(Name)

Signature

**Note:** Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

**Note:** The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

Docket No. YOR920010359US1

ExpMailCert: EV001687974US

Date Of Deposit: Nov 7, 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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|                    |                     |                       |                        |
|--------------------|---------------------|-----------------------|------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| 09/931,225         | 08/16/2001          | Jarir K. Charr        | YOR920010359US1        |

## FORMALITIES LETTER



\*OC000000006806750\*

Casey August  
Intellectual Property Law Dept.  
IBM Corporation  
P.O. Box 218  
Yorktown Heights, NY 10598

Date Mailed: 09/28/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible ( see 37 CFR 1.84(o));

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE